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Image

**CERTIFICATE OF MAILING**

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11/21/03      *Richard A. Bauman*  
Date                      Signature

**PATENT****Attorney Docket No. AMK-11443****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application	)	<u>PATENT APPLICATION</u>
	)	
Inventor(s): Lee John Smith et al.	)	
	)	Art Unit: 2827
Application No.: 09/944,732	)	
	)	Examiner: David E. Graybill
Filed: 08/31/2001	)	
	)	
Title "THIN SEMICONDUCTOR PACKAGE INCLUDING STACKED DIES"	)	
	)	
	)	

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and non-U.S. patent, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the form PTO-1449 in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

- ☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☒ (3) It is being filed before the mail date of the first Office Action on the merits.  
-- OR --
  - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114
- ☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
  - ☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
  - B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. AMK-11443).

Respectfully submitted,

BEVER, HOFFMAN & HARMS, LLP

By: 

James E. Parsons, Reg. No. 34,691

Dated: 11/21/03

Telephone: (408) 451-5906  
Customer No. 022888

Substitute for form 1449A/PTO NOV 24 2003 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/944,732		
		Filing Date	8/31/2001		
		First Named Inventor:	SMITH, Lee John		
		Examiner: David E. Graybill	GROUP: 2827		
Sheet	1	of	2	Attorney Docket Number	AMK-11443

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cited No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear
	A01	5,273,938		Lin et al.	12/28/93	
	A02	5,474,957		Urushima	12/12/95	
	A03	5,474,958		Djennas et al.	12/12/95	
	A04	5,545,922		Golwalkar et al.	8/13/96	
	A05	5,604,376		Hamburgen et al.	2/18/97	
	A06	5,620,928		Lee et al.	4/15/97	
	A07	5,646,828		Degani et al.	7/8/97	
	A08	5,668,405		Yamashita	9/16/97	
	A09	5,696,666		Miles et al.	12/9/97	
	A10	5,763,939		Yamashita	6/9/98	
	A11	5,777,387		Yamashita et al.	7/7/98	
	A12	5,819,398		Wakefield	10/13/98	
	A13	5,866,949		Schueller	2/2/99	
	A14	5,894,108		Mostafazadeh et al.	4/13/99	
	A15	5,977,640		Bertin et al.	11/2/99	
	A16	5,986,317		Wiese	11/16/99	
	A17	6,001,671		Fjelstad	12/14/99	
	A18	6,060,778		Jeong et al.	5/9/00	
	A19	6,100,804		Brady et al.	8/8/00	
	A20	6,107,689		Kozono	8/22/00	
	A21	6,118,184		Ishio et al.	9/12/00	
	A22	6,198,171		Huang et al.	3/6/01	
EXAMINER				DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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	A23	6,395,578	B1	Shin et al.	5/28/02	
	A24	6,399,418	B1	Glenn et al.	6/4/02	
	A25	6,501,184	B1	Shin et al.	12/31/02	
	A26	6,515,356	B1	Shin et al.	2/4/03	
	A27	6,541,854	B2	Huang et al.	4/1/03	
EXAMINER				DATE CONSIDERED		

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